

(12) UK Patent Application (19) GB (11) 2 233 561 (13) A

(43) Date of A publication 16.01.1991

(21) Application No 8915657.4

(22) Date of filing 07.07.1989

(71) Applicant
Engineering In Medicine Limited
(Incorporated in the United Kingdom)

130 Buckingham Palace Road, London, SW1W 9SA,
United Kingdom

(72) Inventor
Edmund Jan Bonikowski

(74) Agent and/or Address for Service
Kilburn and Strode
30 John Street, London, WC1N 2DD, United Kingdom

(51) INT CL⁶
A61B 17/02

(52) UK CL (Edition K)
A5R REY

(56) Documents cited
GB 2107990 A GB 2102681 A GB 1283429 A
EP 0261284 A1 US 4337762 A US 4010741 A

(58) Field of search
UK CL (Edition J) A5R REY, F2K K4B4
INT CL⁶ A61B

(54) Workpiece holding or positioning means

(57) A surgical retractor assembly comprises a rail (1) in the form of a ring which is adjustably supported by legs (4) above the patient from the operating table. A carriage (7) is mounted on the rail and carries a retractor (14). The retractor is mounted on one end of a first arm (13) the other end of which is pivoted to the upper end of a second arm (12) the lower end of which carries a ball (11) which is mounted in a socket (9) in the carriage. The ball can be locked by a manually operated wedge (32) which also causes a rod (47) extending up a bore in the second arm to lock the pivot between the first and second arms. Operation of the wedge also locks the carriage in position on the rail. Thus, the retractor can be positioned as desired and then a single simple operation causes the wedge to hold the retractor in this position.

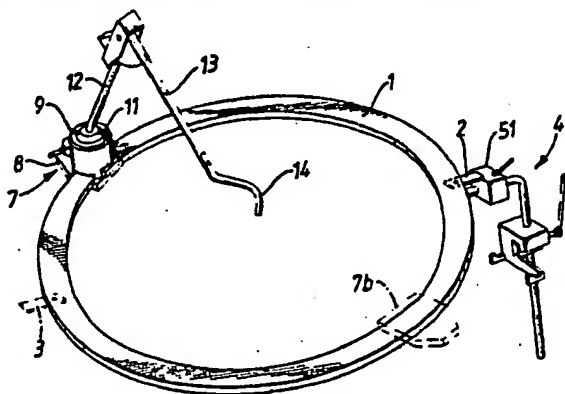


Fig.1.

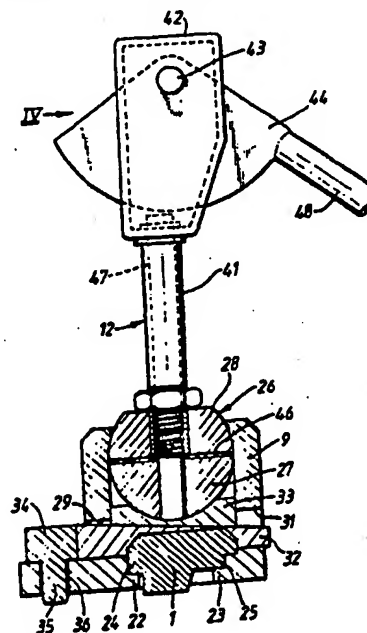


Fig.3.

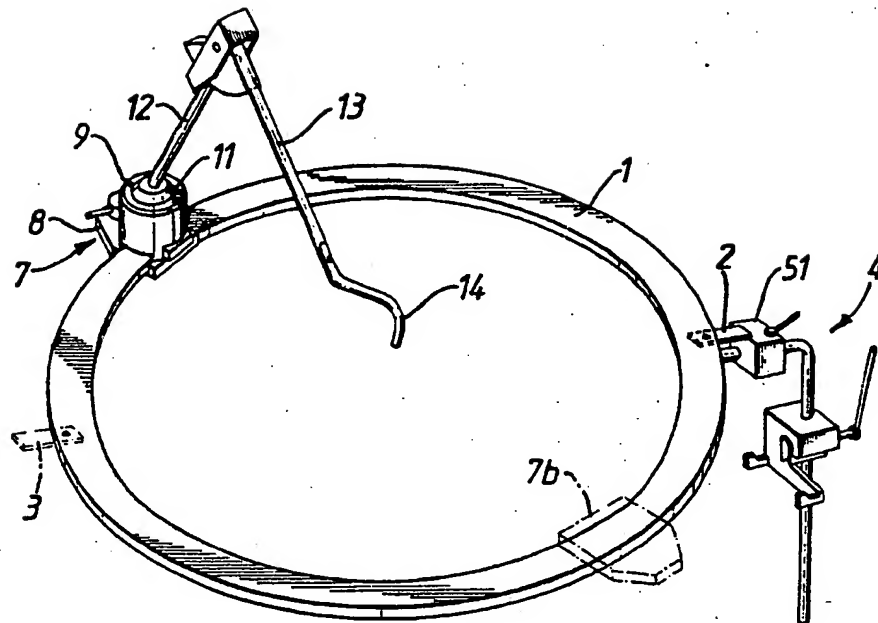


Fig.1.

2/5

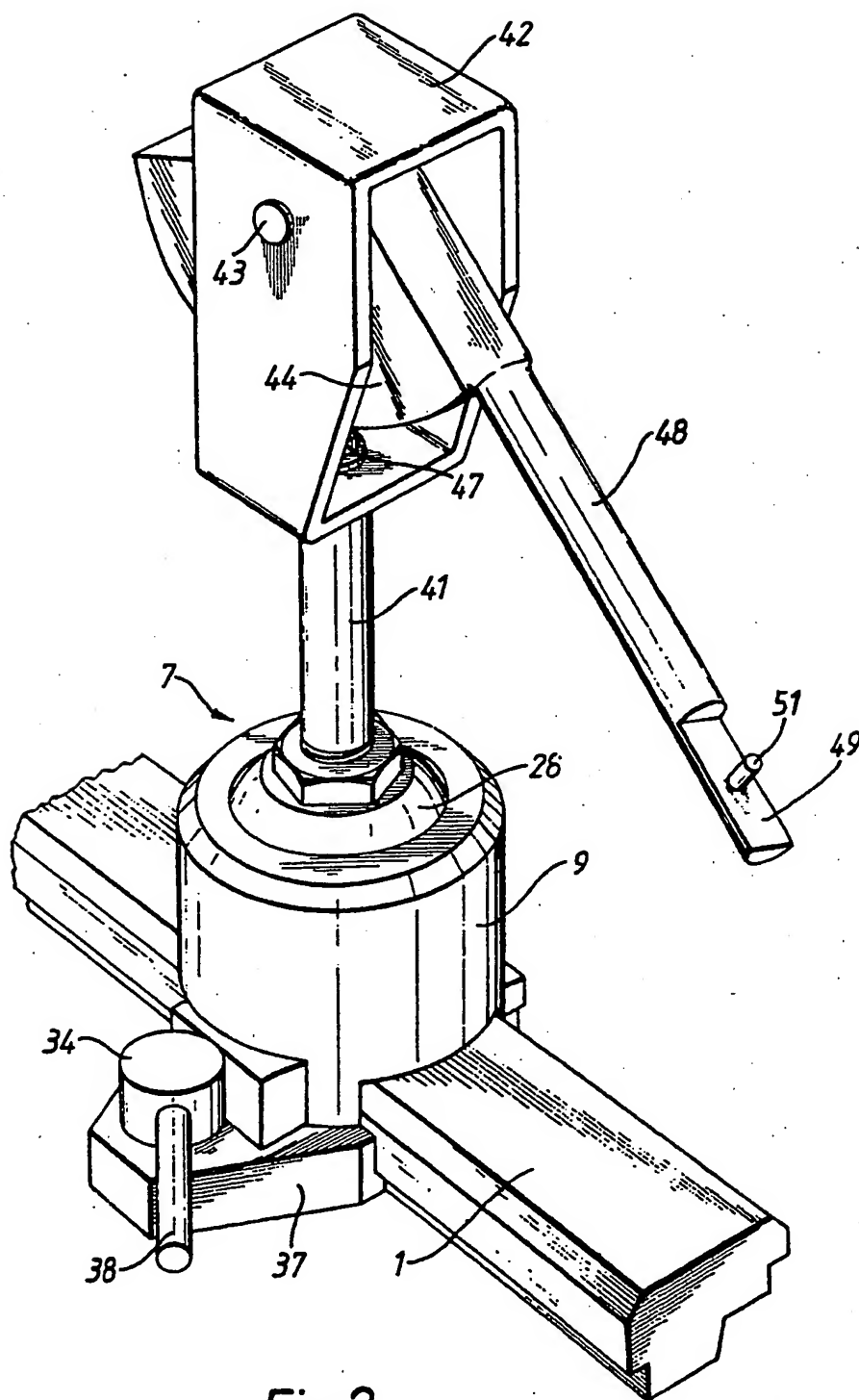


Fig. 2.

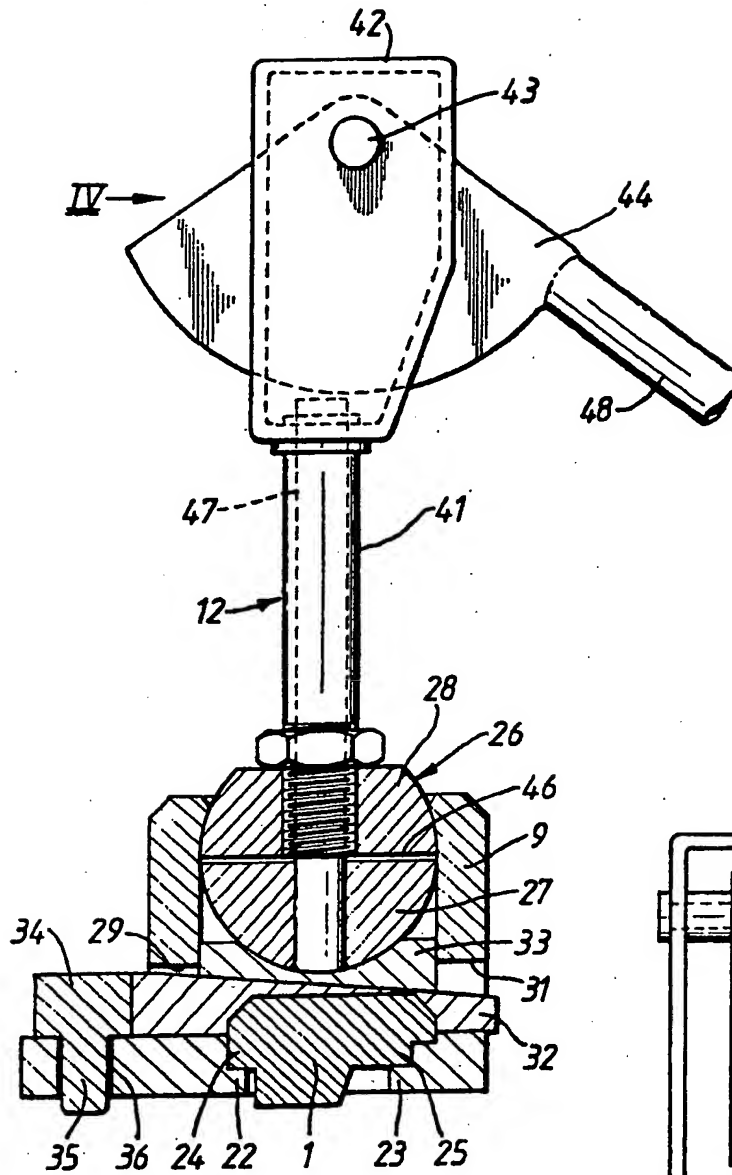


Fig. 3.

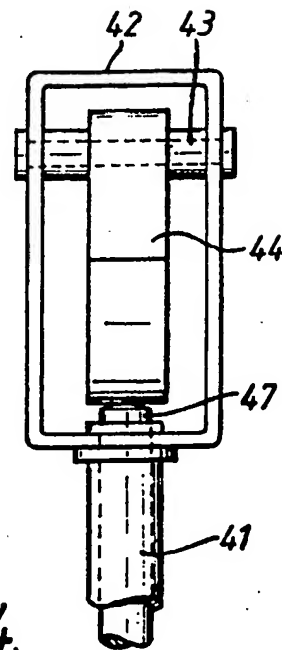
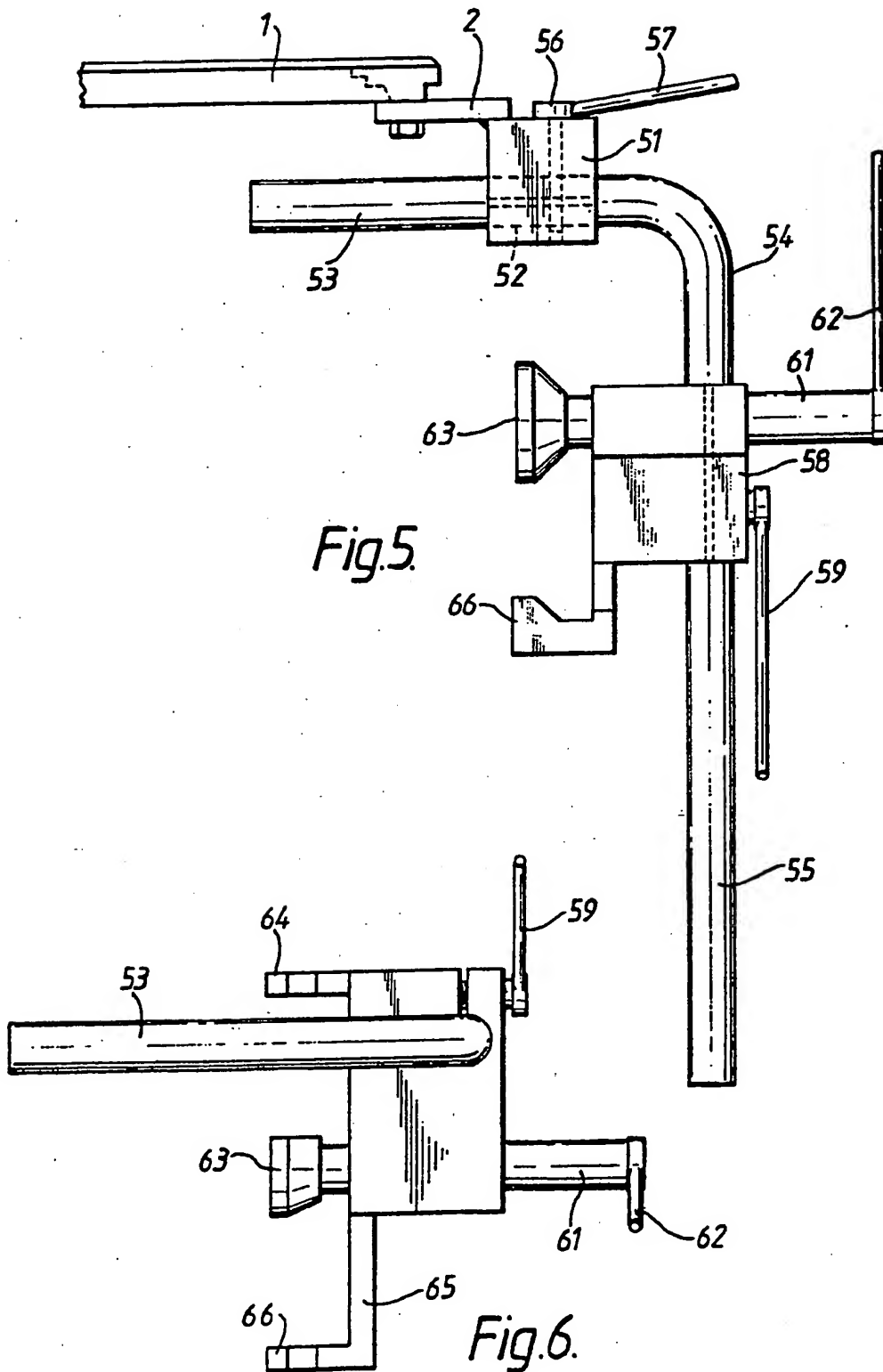


Fig. 4.



5/5

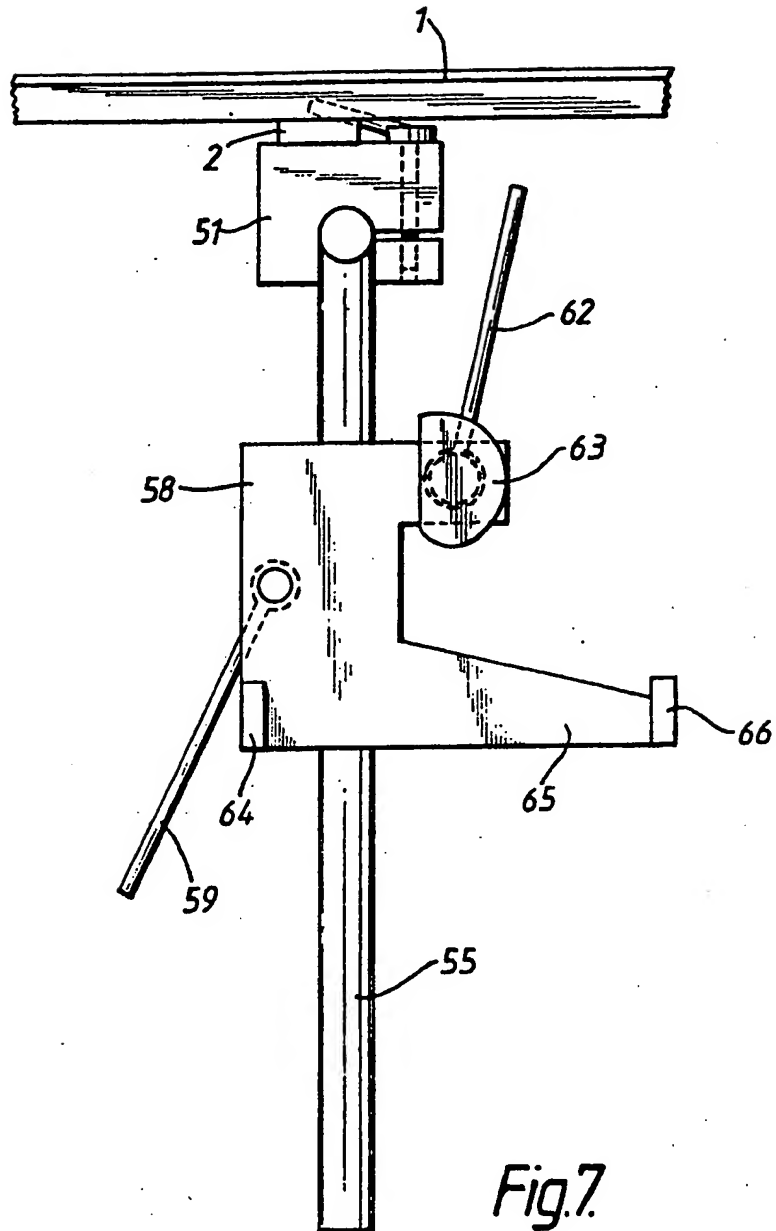


Fig. 7

Workpiece Holding or Positioning Means

1
2
3 This invention relates to means for positioning or
4 holding a workpiece and the term work piece is intended
5 to be understood broadly since the invention is
6 particularly applicable to retractors for use in
7 surgical procedures. It will be understood that in
8 this case the workpiece is a part of the body of the
9 patient. Although the invention is primarily concerned
10 with retractors it will become apparent that the
11 invention provides means which may be used in other
12 fields, for example to hold an inanimate workpiece
13 while operations are performed on it.

14 According to usual operating theatre procedures
15 suitably shaped retractors are held by hand, inserted
16 into an incision and pulled in an appropriate direction
17 to create an opening of sufficient size to enable a
18 surgeon to operate. In any but the simplest and
19 shortest operations this method quickly causes fatigue
20 to the assistants holding the retractors with
21 consequent loss of positional precision causing
22 difficulties to the surgeon and, in long operations,
23 requiring additional assistance. This situation can be
24 much improved by the use of retractor assemblies which,
25 once positioned, will remain in place as long as
26 required by the surgeon. Examples of such assemblies
27 exist but they have substantial disadvantages and in
28 general they are complicated, cumbersome and difficult
29 to use and take a considerable time to set up.

30 According to one aspect of the present invention,
31 a surgical retractor assembly comprises a rail, a
32 carriage movable along the rail, a retractor attached
33 to the carriage by means permitting pivotal and/or

1 rotational movement of the retractor relative to the
2 carriage, and a wedge arranged to simultaneously lock
3 the carriage to the rail and the relative movement of
4 the retractor.

5 According to a second aspect of the present
6 invention a clamp assembly comprises a body forming a
7 ball socket, a ball in the socket carrying a shaft the
8 upper end of which carries a member connected to the
9 shaft by a pivot having a pivot axis generally
10 transverse to the longitudinal axis of the shaft, a
11 wedge arranged to lock the ball in the socket, and
12 locking means interconnecting the pivot and the ball
13 and arranged to lock the pivot when the ball is locked.

14 According to a third aspect of the invention, a
15 clamp assembly comprises a body forming a ball socket,
16 a part-spherical ball element in the socket and
17 carrying a first arm section, a second arm section
18 connected to the outer end of the first section by a
19 pivot, a rod extending generally parallel with the
20 first arm section and having one end engaging the
21 second arm section and having the other end operatively
22 engaging one side of a wedge the other side of which is
23 in operative engagement, with the socket, movement of
24 the wedge in one direction tightening the ball element
25 against the socket, pressing the rod against the second
26 arm section, and tensioning the first arm section to
27 render the assembly rigid.

28 The invention may be carried into practice in
29 various ways but one form of retractor assembly
30 embodying the present invention will now be described
31 by way of example with reference to the accompanying
32 drawings in which:

33 Figure 1 is a simplified perspective view of the

1 complete assembly;

2 Figure 2 is an isometric view of a clamp for a
3 single retractor mounted on the ring of the assembly;

4 Figure 3 is a vertical or axial section through
5 the clamp shown in Figure 2;

6 Figure 4 is a fragmentary elevation seen in the
7 direction of the arrow IV in Figure 3 of the upper part
8 of the clamp;

9 Figure 5 is a front elevation to a larger scale of
10 the means of support of the assembly shown in Figure 1;

11 Figure 6 is a plan view of the means of support
12 shown in Figure 5 but with parts omitted, and

13 Figure 7 is a side elevation of the means of
14 support shown in Figures 5 and 6.

15 The assembly shown in Figure 1 comprises a rigid
16 ring 1 whose cross section can be seen from Figures 2
17 and 3, the ring being provided with a radially
18 extending arm 2 which is provided with support means 4,
19 to be described later, for attachment to one of the
20 rails which run lengthwise along the sides of standard
21 operating tables. The ring 1 can thus be positioned
22 above the site of the intended incision in the patient
23 and although it will usually lie in a horizontal plane
24 the support means 4 provides for rotation of the ring
25 about the longitudinal axes of the arm 2 so that it can
26 be tilted to be higher towards the head end than the
27 foot end of the patient or vice versa. A second
28 radially extending arm 3 and a further support means
29 may, if desired, be provided on the other side of the
30 table.

31 Mounted on the ring 1 is a plurality of clamps 7
32 only one of which is shown but the position of an
33 additional one of which is indicated by dotted lines

1 7b. Broadly speaking the clamp 7 comprises a body 8
2 which is slidable along the ring 1, a socket portion 9
3 extending upwardly from the base 8 and containing a
4 ball assembly 11, a first arm 12 moving with the ball
5 and a second arm 13 pivoted to the upper end of the arm
6 12. A retractor blade 14 is attached to the outer end
7 of the arm 13.

8 Attention is now directed to Figures 2, 3 and 4
9 which show the clamp in greater detail. The socket
10 portion 9 of the clamp 7 is generally cylindrical and
11 the lower portion thereof is shaped to provide an
12 opening or groove to receive the ring 1, the edges of
13 the opening being formed as inwardly directed flanges
14 22,23 to engage underneath the flanges 24,25 which
15 project from the upper parts of the outer and inner
16 sides of the ring 1 respectively. At the upper end of
17 the bore of the cylindrical portion 9 the inner surface
18 curves inwardly to provide a part-spherical inner
19 surface to engage a part of the surface of a ball
20 assembly 26 consisting of a lower hemispherical portion
21 27 and an upper truncated hemispherical portion 28. In
22 the lower part of the cylindrical wall 9 there are two
23 diametrically opposite openings 29,31 through which a
24 wedge member 32 passes beneath the ball assembly 26 and
25 above the ring 1. A seat member 33 is interposed
26 between the ball assembly 26 and the wedge 32, the
27 upper surface of the seat member 33 having a
28 part-spherical depression corresponding to the surface
29 of the ball assembly 26 and the lower surface being
30 inclined in the direction which is complementary to the
31 upper surface of the wedge 32. As will be explained
32 below, when the wedge member 32 is moved to the right
33 the whole clamp assembly is locked up tightly, the

1 lower side of the wedge member 32 pressing against the
2 upper surface of the ring 1 which in turn presses on
3 the flanges 22,23 of the body of the clamp member and
4 the upper surface of the wedge member 32 pressing
5 through the seat member 33 against the ball assembly 26
6 which in turn is pressed against the inwardly curved
7 upper part of the bore in the cylindrical part 9 of the
8 clamp member.

9 Means are provided for moving the wedge member 32
10 to the right as seen in Figure 3, such means comprising
11 a cam or eccentric 34 which carries an off-centre pivot
12 pin 35 carried in a bore 36 in a bracket portion 37
13 integral with and projecting laterally from the lower
14 part of the cylindrical portion 9. The cam carries a
15 radially extending handle 38 by which it may
16 conveniently be rotated. The geometry of the cam 34
17 and the wedge member 32 and the coefficients of
18 friction between the surfaces of these members and the
19 surfaces with which they react are so selected that the
20 wedge is effectively self-locking and once it is moved
21 into a locking position it will not become free without
22 deliberate rotation of the handle 38.

23 Rigid with the upper hemispherical member 28 of
24 the ball assembly 26 is an outer sleeve 41 carrying at
25 its upper end a yoke 42 between the arms of which there
26 extends a shaft 43 forming a pivot with an axis
27 transverse to that of the sleeve 41 and mounting a
28 quadrant plate 44 to which is fixed a rod 48 which
29 constitutes the outer arm 13 shown in Figure 1. As can
30 be seen in Figure 2 the outer end of the rod 48 has a
31 cut-out 49 from which projects a locating pin 51. A
32 retractor blade having a shaft including a cut-out
33 complementary to the cut-out 49 and a hole to receive

1 the pin 51 is attached to the rod 48 and is secured in
2 position by a sleeve which slides along the rod 48.

3 A rod 47 slides within the sleeve 41 and the rod
4 47 and the sleeve 41 together constitute the arm 12
5 shown in Figure 1. The lower end of the rod 47 is
6 fixed in a bore in the lower hemispherical portion 27
7 of the ball assembly while the upper end of the rod 47
8 is rounded and engages the arcuate surface of the
9 quadrant plate 44. The length of the rod 47 is such
10 that there is always a small gap 46 (Figure 3) between
11 the upper and lower hemispherical portions of the ball
12 assembly.

13 The described apparatus is used as follows. The
14 ring 1 is set up over the site of the incision as will
15 be described and the incision made. A clamp 7 is then
16 placed on the ring, the clearances between the body of
17 the clamp and the ring 1 being such as to permit
18 positioning of the clamp on the ring by appropriate
19 manipulation. The retractor 14 is then positioned by
20 the surgeon as required and is then locked in place by
21 operation of the handle 38 thus moving the wedge 32
22 inwards and locking the assembly. Inward movement of
23 the wedge 32 raises the lower hemispherical portion 27
24 of the ball assembly, thus forcing the upper end of the
25 rod 47 hard against the quadrant plate 44 to lock
26 frictionally the quadrant plate and hence the rod 48
27 and the retractor in position. The reaction from the
28 engagement of the upper end of the rod 47 against the
29 quadrant plate 44 is taken through the shaft 43 the
30 yoke 42 and the sleeve 47 to the upper hemispherical
31 portion 28 which is pressed against the intumed upper
32 end of the socket portion 9 to clamp the socket
33 assembly tightly in place. Thus, the ball assembly is

1 unable to move through any of its degrees of freedom.
2 The sleeve 41 is unable to rotate so that the yoke 42
3 and in turn the rod 48 are unable to rotate about the
4 longitudinal axis of the sleeve 41. Accordingly, the
5 position of the retractor is fixed and will not change
6 until the cam 34 is moved to the release position and
7 the wedge 32 is moved back.

8 It will be appreciated that retractor blades of
9 various constructions and shapes can be attached to the
10 rod 48 to suit the immediate requirements of the
11 surgeon. One particular advantage to be obtained by
12 use of the apparatus is that the retractor can be used
13 to apply upward force to the flap of the patient
14 revealed by the incision as compared with the earlier
15 mechanical retractors by use of which it is difficult
16 to apply anything other than a horizontal force.

17 The support means 4 shown in Figure 1 will now be
18 described in greater detail with reference to Figures
19 5, 6 and 7. As previously mentioned, the ring 1
20 carries a radially extending arm 2 which may be
21 connected to support means 4. The arm 2 is welded to a
22 block 51 formed with a bore 52 which, when the
23 apparatus is in its operative position, extends
24 generally horizontally and radially of the ring 1. The
25 bore 52 receives the return portion 53 of a rod 54
26 which is generally of inverted L-shape and the vertical
27 limb 55 of which provides a support column for the
28 apparatus. The block 51 is formed with a horizontal
29 split extending from one side to the bore in a plane
30 containing the axis of the bore and the two sides of
31 the bore are connected by a clamping screw 56 which is
32 freely rotatable in a vertical bore in the part of the
33 block 51 above the split and which extends into a

1 threaded bore in the part of the block below the split.
2 The screw 56 carries a lever shaped handle 57 rotation
3 of which in one direction causes the split to close so
4 that the return portion 53 of the rod 54 is gripped
5 tightly in the block 51. In a similar manner the
6 vertical limb 55 of the rod 54 passes through a
7 vertical bore in a block 58 in which it can be clamped
8 by means of a lever 59 closing a split in the block.
9 The block 58 also has a horizontal bore through which
10 extends a shaft 61 carrying an operating lever 62 at
11 one end and a locking cam 63 at the other end. At one
12 lower corner of the block 58 there is a hook 64 which
13 extends away from the block radially inwardly of the
14 ring 1 and has a return portion turning upwards. From
15 an adjacent corner there is a horizontally extending
16 arm 65 which carries at its distal end a hook 66
17 similarly arranged to the hook 64.

18 The ring 1 is set up as follows. With the rod 54
19 loosely positioned in the block 58 the hooks 64,66 are
20 positioned under the longitudinal rail extending along
21 one side of the operating table with the cam 63
22 positioned above the rail and the lever 62 is then
23 rotated to cause the locking cam 63 to grip the rail
24 between the cam and the hooks 64 and 66 so that the
25 block 58 is rigidly secured to the rail. The height of
26 the ring is then adjusted by moving the rod 54 up and
27 down in the vertical bore in the block 58 and it is
28 then locked in position by rotation of the lever 59.
29 The lateral position of the ring can then be adjusted
30 by sliding the block 51 along the return portion 53 of
31 the rod 54 and when it is in position it can be clamped
32 by operation of the lever 57.

33 It will be noted that the various locking

1 operations are effected by rotation of easily
2 manipulated levers and the device can be set up in a
3 very short time indeed.

4 Although the invention has been described in
5 relation to a retractor for surgical operations the
6 mechanism described can be used in other environments
7 where there is a requirement to lock a tool or
8 workpiece in a position in space. For example, in
9 place of the retractor blade 14 the arm 13 could carry
10 a chuck or holder to carry a workpiece to be operated
11 on or a specimen to be examined.

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

1 Claims:

2

3 1. A surgical retractor assembly comprising a rail, a
4 carriage movable along the rail, a retractor attached
5 to the carriage by means permitting pivotal and/or
6 rotational movement of the retractor relative to the
7 carriage, and a wedge arranged to simultaneously lock
8 the carriage to the rail and the relative movement of
9 the retractor.

10

11 2. An assembly as claimed in claim 1 in which the
12 rail is circular.

13

14 3. An assembly as claimed in claim 1 or claim 2 or
15 which includes means for supporting the rail from an
16 operating table.

17

18 4. An assembly as claimed in claim 1 or claim 2 or
19 claim 3 in which the retractor includes a pivot
20 separate from the attaching means, the said pivot being
21 also locked by the wedge.

22

23 5. A retractor assembly substantially as described
24 herein with reference to the accompanying drawings.

25

26 6. A clamp assembly comprising a body forming a ball
27 socket, a ball in the socket carrying a shaft the upper
28 end of which carries a member connected to the shaft by
29 a pivot having a pivot axis generally transverse to the
30 longitudinal axis of the shaft; a wedge arranged to
31 lock the ball in the socket, and locking means
32 interconnecting the wedge and the pivot and arranged to
33 lock the pivot when the ball is locked.

1 7. An assembly as claimed in claim 6 in which the
2 ball comprises a first portion to which the shaft is
3 fixed and a second portion carrying a sleeve through
4 which the shaft passes, the said member being pivoted
5 to the sleeve and hence to the shaft.

6

7 8. An assembly as claimed in claim 7 in which the
8 said member carries a quadrant and the shaft engages
9 the periphery of the quadrant to lock the pivot.

10

11 9. An assembly as claimed in claim 8 in which the
12 sleeve carries a yoke in which the quadrant is pivoted,
13 the shaft engaging the quadrant within the yoke.

14

15 10. An assembly as claimed in any of claims 6 to 9 in
16 which the member comprises an arm carrying a surgical
17 retractor at its outer end.

18

19 11. An assembly as claimed in any of the preceding
20 claims in combination with a rail on which the assembly
21 is clampably movable.

22

23 12. An assembly as claimed in claim 11 in which the
24 assembly is clampable on the rail by the wedge.

25

26 13. An assembly as claimed in any of claims 6 to 12
27 which includes a cam or eccentric mounted on the socket
28 and arranged to translate the wedge to its locking
29 position.

30

31 14. An assembly as claimed in claim 13 which includes
32 a handle for manual rotation of the cam or eccentric.

33

1 15. A clamp assembly comprising a body forming a ball
2 socket, a part-spherical ball element in the socket and
3 carrying a first arm section, a second arm section
4 connected to the outer end of the first section by a
5 pivot, a rod extending generally parallel with the
6 first arm section and having one end engaging the
7 second arm section and having the other end operatively
8 engaging one side of a wedge the other side of which is
9 in operative engagement with the socket, movement of
10 the wedge in one direction tightening the ball element
11 against the socket, pressing the rod against the second
12 arm section, and tensioning the first arm section to
13 render the assembly rigid.

14

15 16. A clamp assembly substantially as described herein
16 with reference to Figures 2 to 4 of the accompanying
17 drawings.

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: _____**

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.